

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): JAMES F. ZUCHERMAN, KEN Y. HSU,  
CHARLES J. WINSLOW  
Appln. No.: Unknown  
Confirm. No.: Unknown  
Filed: Herewith  
Title: INTERSPINOUS PROCESS APPARATUS AND  
METHOD WITH A SELECTABLY EXPANDABLE  
SPACER

PATENT APPLICATION

Art Unit: Unassigned  
Examiner: Unassigned

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22386 U.S. PTO  
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(Signature)

Tina M. Galdos

Signature Date: October 14, 2003

UTILITY PATENT APPLICATION TRANSMITTAL LETTER UNDER 37 C.F.R §1.53(b)

Mail Stop PATENT APPLICATION  
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Sir:

Transmitted herewith for filing is the patent application identified as follows:

Inventor(s): JAMES F. ZUCHERMAN, KEN Y. HSU, CHARLES J. WINSLOW

Title: INTERSPINOUS PROCESS APPARATUS AND METHOD WITH A SELECTABLY  
EXPANDABLE SPACER

No. of pages in Specification (including claims and abstract): 21; No. of Claims: 45.

No. of Sheets of Drawings: 9; Formal: ☒, Informal: ☐.

Also enclosed are:

- ☐ A Declaration.
- ☐ An Assignment and Recordation Form Cover Sheet.
- ☐ A certified copy of a priority application.
- ☐ A Power of Attorney.
- ☐ An Information Disclosure Statement Under 37 C.F.R. §1.56.

The filing fee pursuant to 37 C.F.R. §1.16 is determined as follows:

☒ Applicant(s) qualify for small entity status under 37 C.F.R. § 1.27.

For	Number Filed	Number Extra	Rate Small Entity/Other Than Small Entity	Total
BASIC FEE (37 CFR 1.16(a))			\$385.00 \$770.00	\$ 385.00
TOTAL CLAIMS (37 CFR 1.16(c))	<u>45</u> - 20	<u>25</u> *	X \$ 9.00 X \$ 18.00	\$ 225.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	<u>8</u> - 3	<u>5</u> *	X \$ 43.00 X \$ 86.00	\$ 215.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))			+ \$145.00 + \$290.00	\$
			TOTAL	\$ 825.00

\*If the difference in column 1 is less than zero, enter "0" in column 2.

☐ A check in the amount of \$\_\_\_\_ to cover the filing fee (\$\_\_\_\_), and assignment recording fee (\$40.00), if applicable, is enclosed.

☒ Please charge Deposit Account No. 06-1325 in the amount of \$ 825.00 to cover the filing fee.

✓

The Commissioner is hereby authorized to charge any deficiencies or credit overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

This application is filed pursuant to 37 C.F.R. §1.53(b) in the name of the above-identified Inventor(s).

✓

This application claims priority to an earlier-filed Provisional patent application, as set forth more fully in this application.

Please direct all correspondence concerning the above-identified application to the following address:

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Respectfully submitted,

Date: Oct 19, 2003

By: \_\_\_\_\_

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